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## IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

TRANSMITTAL LETTER TO THE UNITED STATES DESIGNATED/ELECTED OFFICE  
(DO/EO/US) CONCERNING A FILING UNDER 35 U.S.C. 371

ATTORNEY'S DOCKET NUMBER

CASM116373

U.S. APPLICATION NO. (if known see 37 C.F.R. 1.5)

INTERNATIONAL APPLICATION NO.	INTERNATIONAL FILING DATE	PRIORITY DATE CLAIMED
PCT/EP99/02771	21 April 1999	30 April 1998

TITLE OF INVENTION

SECURITY ELEMENT TO PREVENT COUNTERFEITING OF VALUE DOCUMENTS

APPLICANT(S) FOR DO/EO/US

LIPPENS, Paul and POWER, Gary

Applicant herewith submits to the United States Designated/Elected Office (DO/EO/US) the following items and other information by Express Mail:

- 1. This is a **FIRST** submission of items concerning a filing under 35 U.S.C. 371.
- 2. This is a **SECOND** or **SUBSEQUENT** submission of items concerning a filing under 37 U.S.C. 371.
- 3. This is an express request to promptly begin national examination procedures (35 U.S.C. 371(f)).
- 4. The U.S. has been elected by the expiration of 19 months from the priority date (PCT Article 31).
- 5. A copy of the International Application as filed (35 U.S.C. 371(c)(2))
  - a. is attached hereto (required only if not transmitted by the International Bureau).
  - b. has been transmitted by the International Bureau.
  - c. is not required, as the application was filed in the United States Receiving Office (RO/US).
- 6. An English language translation of the International Application as filed (35 U.S.C. 371(c)(2)).

LAW OFFICES OF  
 CHRISTENSEN O'CONNOR  
 JOHNSON & KINDNESS<sup>PLLC</sup>  
 1420 Fifth Avenue  
 Suite 2800  
 Seattle, Washington 98101  
 (206) 682-8100

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<input checked="" type="checkbox"/> 17. The following fees are submitted:	<b>CALCULATIONS</b> PTO USE ONLY			
<b>BASIC NATIONAL FEE (37 CFR 1.492(a)(1)-(5):</b>				
Neither international preliminary examination fee (37 CFR 1.482) nor international search fee (37 CFR 1.445(a)(2)) paid to USPTO and International Search Report not prepared by the EPO or JPO .....	\$1,000			
International preliminary examination fee (37 CFR 1.482) not paid to USPTO but International Search Report prepared by the EPO or JPO....	\$860			
International preliminary examination fee (37 CFR 1.482) not paid to USPTO but international search fee (37 CFR 1.445(a)(2)) paid to USPTO.....	\$710			
International preliminary examination fee paid to USPTO (37 CFR 1.482) but all claims did not satisfy provisions of PCT Article 33(1)-(4).....	\$690			
International preliminary examination fee paid to USPTO (37 CFR 1.482) and all claims satisfied provisions of PCT Article 33(1)-(4) .....	\$100			
<b>ENTER APPROPRIATE BASIC FEE AMOUNT = \$860</b>				
Surcharge of \$130 for furnishing the oath or declaration later than _____ 20 30 months from the earliest claimed priority date (37 CFR 1.492(e)).				\$ ---
<b>CLAIMS</b>	<b>NUMBER FILED</b>	<b>NUMBER EXTRA</b>	<b>RATE</b>	
Total claims	32- 20 =	12	X \$18	\$216
Independent claims	1- 3 =	0	X \$80	\$ ---
MULTIPLE DEPENDENT CLAIMS(S) (if applicable)				+ \$270
<b>TOTAL OF ABOVE CALCULATIONS = \$1346</b>				
____ Applicant claims small entity status. See 37 CFR 1.27. The fees indicated above are reduced by 1/2.				\$ ---
<b>SUBTOTAL = \$1346</b>				
Processing fee of \$130 for furnishing the English translation later than _____ 20 _____ 30 months from the earliest claimed priority date (37 CFR 1.492(f)). +				\$ ---
<b>TOTAL NATIONAL FEE = \$1346</b>				
Fee for recording the enclosed assignment (37 CFR 1.21(h)). The assignment must be accompanied by an appropriate cover sheet (37 CFR 3.28, 3.31). \$40 per property				\$ --- +
<b>TOTAL FEES ENCLOSED = \$1346</b>				
				Amount to be: refunded
				charged

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7. Amendments to the claims of the International Application under PCT Article 19 (35 U.S.C. 371(c)(3))
- a. are attached hereto (required only if not communicated by the International Bureau).
- b. have been transmitted by the International Bureau.
- c. have not been made; however, the time limit for making such amendments has NOT expired.
- d. have not been made and will not be made.
8. An English language translation of the amendments to the claims under PCT Article 19 (35 U.S.C. 371(c)(3)).
9. An oath or declaration of the inventor(s) (35 U.S.C. 371(c)(4)).
10. An English language translation of the annexes to the International Preliminary Examination Report under PCT Article 36 (35 U.S.C. 371(c)(5)).

**Items 11. to 16. below concern document(s) or information included:**

11. An Information Disclosure Statement under 37 C.F.R. 1.97 and 1.98.
12. An assignment document for recording. A separate cover sheet in compliance with 37 C.F.R. 3.28 and 3.31 is included.
- 13a. A FIRST preliminary amendment.
- 13b. A SECOND or SUBSEQUENT preliminary amendment.
14. A substitute specification.
15. A change of power of attorney and/or address letter.
16. Other items or information:

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- 17a. A check in the amount of \$ 1346 to cover the above fees is enclosed.  
Check No. 1215240.
- 17b. Please charge my Deposit Account No. \_\_\_\_\_ in the amount of \$ \_\_\_\_\_ to  
cover the above fees. A duplicate copy of this sheet is enclosed.
- 17c. The Commissioner is hereby authorized to charge any additional fees which may  
be required, or credit any overpayment to Deposit Account No. 03-1740.  
A duplicate copy of this letter is enclosed.

SEND ALL CORRESPONDENCE TO:

Gary S. Kindness  
CHRISTENSEN O'CONNOR JOHNSON KINDNESS<sup>PLLC</sup>  
1420 Fifth Avenue  
Suite 2800  
Seattle, WA 98101

Respectfully submitted,

CHRISTENSEN O'CONNOR  
JOHNSON KINDNESS<sup>PLLC</sup>

  
Gary S. Kindness  
Registration No. 22,178  
Direct Dial (206) 695-1702

**EXPRESS MAIL CERTIFICATE**

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Date of Deposit October 18, 2000

I hereby certify that this paper or fee is being deposited with the United States Postal Service "Express Mail Post Office to Addressee" service under 37 C.F.R. § 1.10 on the date indicated above and is addressed to the Assistant Commissioner for Patents, Washington, D.C. 20231.

Maria Wood

(Typed or printed name of person mailing paper or fee)

Maria Wood

(Signature of person mailing paper or fee)

GSK/PAS:sah